

04-13-01

A

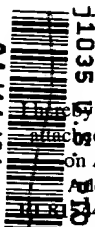
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

Attorney Docket No. SUNMP003

First Named Inventor: Vladimir Matena

04/11/01



I hereby certify that this paper and the documents and/or fees referred to as enclosed herein are being deposited with the United States Postal Service on April 11, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number 5144719US, addressed to the Commissioner for Patents, Washington, DC 20231.

Neely Jo Weldy
Neely Jo Weldy

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents
Box Patent Application
Washington, DC 20231

☐ Duplicate for
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors: Vladimir Matena, Rahul Sharma, Masood Mortazavi, and Sanjeev Krishnan

For: METHOD AND APPARATUS FOR PERFORMING ONLINE APPLICATION UPGRADES IN A JAVA PLATFORM

Application Elements:

- ☒ 48 Pages of Specification, Claims and Abstract
☒ 24 Sheets of Drawings (Informal)
☒ 02 Pages Declaration

Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard
☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
☒ Other: Power of Attorney By Assignee and Exclusion of Inventor under 37 C.F.R. 1.32

Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$355	\$	OR	\$710	\$710
TOTAL CLAIMS	21	-20 = 1	x09=	\$	OR	x18 =	\$ 18
INDEP CLAIMS	3	-3 = 0	x40 =	\$	OR	x80 =	\$
[] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	\$728

☒ Check No. 5116 in the amount of \$768.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. SUNMP003).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.

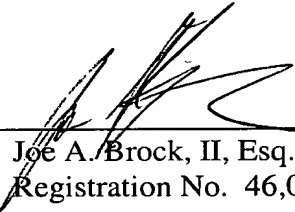
General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. SUNMP003).

☒ Please send correspondence to the following address:

Joe A. Brock, II, Esq.
MARTINE PENILLA & KIM, LLP
710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
Customer Number 25920
Tel (408) 749-6900
Fax (408) 749-6901

Date: April 11, 2001



Joe A. Brock, II, Esq.
Registration No. 46,021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of)

Matena et al.)

Application No. [To be assigned])

Filed: April 11, 2001)

For: METHOD AND APPARATUS FOR PERFORMING ONLINE)
APPLICATION UPGRADES IN A JAVA PLATFORM)

Examiner: Unassigned

Art Unit: Unassigned

Docket No. SUNMP003

Date: April 11, 2001

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service on April 11, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, Mailing Label Number **EL815444719US**, addressed to the Commissioner for Patents, Washington, DC 20231.

Signed: _____

Neely J. Weldy

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,
MARTINE PENILLA & KIM, LLP

Joe A. Brock, II, Esq.
Reg. No. 46,021

710 Lakeway Drive, Suite 170
Sunnyvale, CA 94085
(408) 749-6900
Customer Number 25920